



05-08-06

*[Handwritten signature]*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Applicant: Tull, Jr. et al.

Serial No.: 10/673,125

Issue Date: September 26, 2003

Title: DATA PROCESSING SYSTEM AND METHOD FOR ADMINISTERING FINANCIAL INSTRUMENTS

Commissioner for Patents  
P.O. Box: 1450  
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STATEMENT UNDER 3.73(b) and POWER OF ATTORNEY  
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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Robert S. Tull, Jr., et al.Application No./Patent No.: 10/673,125 Filed/Issue Date: September 26, 2003Entitled: DATA PROCESSING SYSTEM AND METHOD FOR ADMINISTERING FINANCIAL INSTRUMENTSMorgan Stanley, a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at \_\_\_\_\_, \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Inventors To: Morgan Stanley Group Inc.The document was recorded in the United States Patent and Trademark Office at  
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Reel 015698, Frame 0295, or for which a copy thereof is attached.☒ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4/6/06

Date

212-537-2445

Telephone number

William J. O'Shaughnessy, Jr.

Typed or printed name

William J. O'Shaughnessy, Jr.

Signature

Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b) – Supplemental Sheet**

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To: Morgan Stanley  
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**POWER OF ATTORNEY  
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INDICATION FORM**

Application Number	10/673,125
Filing Date	September 26, 2003
First Named Inventor	Robert S. Tull, Jr.
Title	DATA PROCESSING SYSTEM.....
Art Unit	3624
Examiner Name	N. Subramanian
Attorney Docket Number	040173CONCONCONCON

I hereby appoint:

☒ Practitioners associated with the Customer  
Number:

26285

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature	<i>William S. O'Shaughnessy Jr.</i>	Date	4/6/06
Name	William S. O'Shaughnessy Jr.	Telephone	212-537-2445
Title and Company	Assistant Secretary, Morgan Stanley		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2\_ forms are submitted.

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